



California Department of Mental Health

Prevention and Early Intervention Program and Expenditure Plan Draft Proposed Guidelines PEI Stakeholder Conference Call

Monday, July 23, 2007
2:00 pm to 5:00 pm

Toll Free Call-In #: 1-866-296-6505
Verbal Password: MHSA
TTY #: 1-800-735-2929



PEI Stakeholder Conference Call Agenda

2:00 Welcome and Conference Call Purpose
Review Agenda and Conference Call Process

Part I: Purpose, Background and Definitions

Part II: Community Planning Process

Part III: PEI Workplans

2:30 Questions and Comments

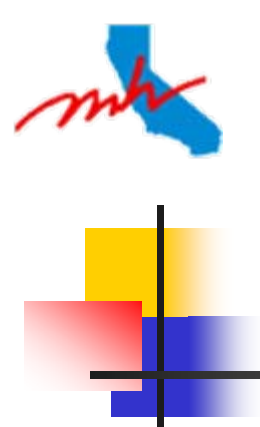
3:00 Part IV: Funding

Part V: Accountability and Evaluation



PEI Stakeholder Conference Call Agenda (cont'd)

- 3:30 Questions and Comments
- 3:45 Break
- 4:00 Part VI: Submission Guidelines
- 4:25 Resource Materials
- 4:40 Final Questions and Comments
- 5:00 Adjourn



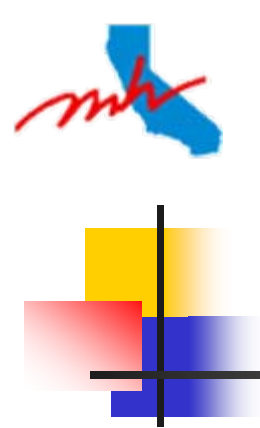
PEI Draft Proposed Guidelines

Part I: Purpose, Background and Definitions

(p. 1-2)

- Key Community Needs:
 - Disparities in access to mental health services
 - Psycho-social impact of trauma
 - At-risk children, youth, and young adult populations
 - Stigma and discrimination
 - Suicide risk

- PEI Priority Populations:
 - Underserved cultural populations
 - Individuals experiencing onset of serious psychiatric illness
 - Children/youth in stressed families
 - Trauma-exposed
 - Children/youth at risk for school failure
 - Children/youth at risk of juvenile justice involvement



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Part I: Purpose, Background and Definitions (p. 3-4) (cont'd)

- Operational definition of Prevention and Early Intervention:
 - Prevention
 - Involves reducing risk factors or stressors
 - Building protective factors and skills
 - Promotes positive cognitive, social and emotional development
 - Generally no time limits/low cost



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Part I: Purpose, Background and Definitions (p. 4-5) (cont'd)

- Operational definition of Prevention and Early Intervention (cont'd):
 - Early Intervention
 - Addresses a condition early in its manifestation
 - Is of relatively low intensity
 - Is of relatively short duration (usually less than one year)
 - Has the goal of supporting well-being in major life domains and avoiding the need for more extensive mental health services
 - May include individual screening for confirmation of potential mental health needs



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Part I: Purpose, Background and Definitions (p. 6) (cont'd)

- Exception for Early Onset:
 - Specialized programs for individuals at risk of or who are experiencing early onset of a psychotic illness
 - Based on transformational interventions from Australia, Europe, Canada
 - Identify and provide services to youth/TAY in non-stigmatizing, non-MH settings
 - Program is generally 2-5 years in duration



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Part II: Community Program Planning Process (p. 7-9)

- Process and timeline for funds
- Involvement of non-MH organizations and stakeholders
- Involvement of Required and Recommended Sectors
- Outreach and Engagement to Underserved Communities
- Required comment period and public hearing



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Part II: Community Program Planning Process (p. 7) (cont'd)

- Purpose of Community Program Planning:
 - Identification and selection of Key Community MH Needs and related PEI Priority Populations
 - Selection of PEI Strategies to achieve Desired Outcomes
 - Assessment of Community Capacity and Strengths
 - Development of Workplans with Timeframes, Staffing and Budgets
 - Implementation of Accountability, Evaluation and Program Improvement Activities



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Part III: PEI Workplans (p. 10-13)

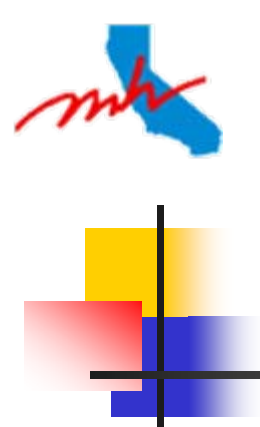
- Workplans connected with PEI Priority Populations
- Reducing disparities is an overarching goal
- Priority Age - 51% funds to children and youth; small counties excluded



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Part III: PEI Workplans (p. 11-12) (cont'd)

- County Selection of Strategies:
 - Based on PEI Priority Populations and PEI Community Needs
 - Counties may select from the PEI Resource Materials
 - Counties may select alternative strategies with rationale



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Part III: PEI Workplans (p. 12-13) (cont'd)

- State-Administered Projects:
 - Suicide Prevention
 - Stigma and Discrimination Reduction
 - Ethnically and Culturally Specific Programs and Intervention
 - Training, Technical Assistance and Capacity Building
 - Student Mental Health Initiative
 - Statewide Evaluation



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Questions and Comments

- Parts I through III



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Part IV: Funding (p. 14-15)

- Non-supplant
- Allowable Expenditures
- Non-allowable expenditures
- Leveraging



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Part V: Accountability and Evaluation (p. 16)

- Importance of Accountability and Evaluation:
 - Demonstrate accountability to the public
 - Document progress towards meeting overall aims of PEI
 - Inform both policy and practice about the PEI component of MHSA
 - Create a cooperative learning environment among stakeholders

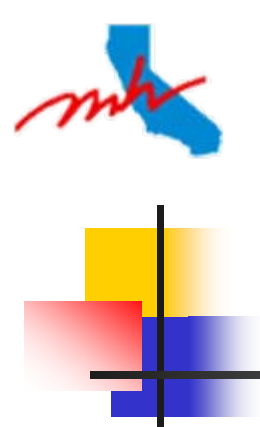


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Part V: Accountability and Evaluation

(p. 17) (cont'd)

- Section A: Evaluation Questions:
 - Individual Person/Family Level
 - Improve mental health status
 - Reduce risk for emotional and behavioral problems
 - System Level
 - How is PEI money being spent?
 - What strategies show promise and/or evidence of being effective especially with underserved populations
 - What impacts are there from PEI on the mental health system and other organizations, agencies and systems



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Part V: Accountability and Evaluation

(p. 18) (cont'd)

- Section B: Evaluation Components:
 - Tracking of expenditures at the workplan level
 - Semi-annual narrative reporting
 - Participation in on-site program reviews
 - Participation in surveying of PEI implementation, funding, and collaborative partners
 - Conducting a local outcome evaluation of the strategies within one workplan



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Part V: Accountability and Evaluation

(p. 18-19) (cont'd)

- Section C: Tracking of Expenditures:
 - Description of the target population for the workplans
 - The number who received the prevention and early intervention strategies within the workplan
 - Characteristics of those who received the early intervention, where appropriate and feasible
 - Type of problem(s)/need(s) for which intervention was directed
 - Number of services by type of services
 - Type and nature of implementation, funding, or collaborative partner
 - Dollars and funding source



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Part V: Accountability and Evaluation (p. 19-20) (cont'd)

- Section D: Narrative Reporting
- Section E: Participation in On-Site Reviews
- Section F: Participation in Surveying of Partner Organizations



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Part V: Accountability and Evaluation

(p. 20) (cont'd)

- Section G: Conduct a Local Outcome Evaluation of One Workplan:
 1. Workplan to be evaluated and how the workplan and strategies were selected.
 2. Person-level and system-level expected outcomes for the strategies.
 3. Numbers and types of persons to receive the strategies.
 4. How achievement of the outcomes will be measured.
 5. How the data will be collected and analyzed.

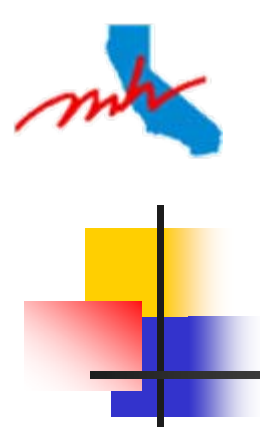


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Part V: Accountability and Evaluation

(p. 20) (cont'd)

- Section G: Conduct a Local Outcome Evaluation of One Workplan (cont'd):
 6. How the strategy and the evaluation will be culturally competent.
 7. What procedure will be used to ensure fidelity in implementing the model and any adaptations.
 8. How the report on the evaluation will be disseminated to interested local constituencies.



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Questions and Comments

- Parts IV and V



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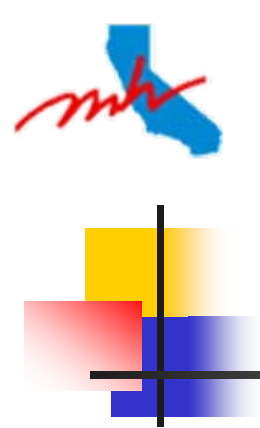
BREAK



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Part VI: Submission Guidelines (p. 21)

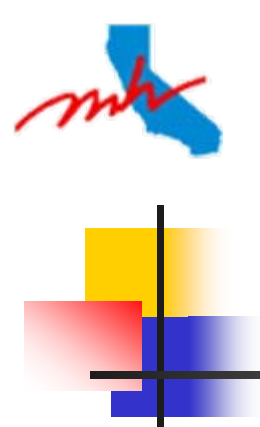
- Instructions for Completing Plan:
 - Form 1: Program and Expenditure Plan Face Sheet
 - Form 2: Community Program Planning (CPP) Process – Counties document completing the required elements of the CPP process



PEI Draft Proposed Guidelines

Part VI: Submission Guidelines (cont'd)

- Form 3: PEI Workplan Summary (with instructions)
 - PEI Key Community Mental Health Needs
 - PEI Priority Population
 - Workplan Description
 - Strategies
 - Alternate Strategies



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Part VI: Submission Guidelines (cont'd)

- Form 3: PEI Workplan Summary (with instructions) (cont'd)
 - Linkages to County Mental Health Providers of Other Needed Services
 - System Enhancements
 - Intended Outcomes
 - Coordination with Other MHSA Components



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Part VI: Submission Guidelines (cont'd)

- Form 4: PEI Revenue and Expenditure Budget Worksheet (with instructions)
- Form 5: Administrative Budget Worksheet (with instructions)
- Form 6: Prevention and Early Intervention Budget Summary
- Form 7: Local Evaluation (with instructions)



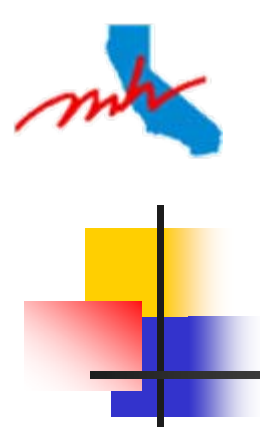
PEI Resource Materials

- Separate document on DMH website
- Narrative Introduction to the PEI Resource Materials
- Chart of Selected Strategies with Outcomes
- Strategy Resource Materials – by priority populations



PEI Resource Materials (cont'd)

- Draft PEI Logic Model
- Potential Outcomes of PEI Strategies



PEI Stakeholder Conference Call

Final Questions and Comments



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